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jcs86 U.S. PTO

NON-PROVISIONAL  
UTILITY PATENT APPLICATION  
TRANSMITTAL - 37 CFR 1.53(b)

☐ Duplicate  
(check, if applicable)

Assistant Commissioner for Patents  
**BOX PATENT APPLICATION**  
Washington, DC 20231

**Attorney Docket No. 9855-30U1**  
**First Named Inventor: Carlo M. Croce**  
**Express Mail Label No. EL399098350US**  
**Total Pages of Transmittal Form: 2**

Transmitted herewith for filing is the non-provisional utility patent application entitled:

**COMPOSITIONS, KITS, AND METHODS RELATING TO THE HUMAN  
FEZ1 GENE, A NOVEL TUMOR SUPPRESSOR GENE**

which is:

an ☒ Original; or

a ☐ Continuation, ☐ Divisional, or ☐ Continuation-in-part (CIP)  
of prior Application No. \_\_\_\_/\_\_\_\_, filed \_\_\_\_.

☒ This non-provisional patent application is based on Provisional Patent Application  
No. 60/121,537, filed February 25, 1999.

Enclosed are:

- ☒ Specification (including Abstract) and claims: 141 pages.
- ☒ Non-executed Declaration.
- ☐ Copy of Declaration from prior application.
- ☐ Separate Power of Attorney (including 37 CFR 3.73(b) statement, if applicable).
- ☒ 22 sheets of drawings (formal).
- ☐ Microfiche computer program (Appendix).
- ☒ Nucleotide and/or Amino Acid Sequence Submission, including:
  - ☒ Computer readable copy ☒ Paper Copy (40 pgs.) ☒ Verified Statement.
- ☐ Under PTO-1595 cover sheet, an assignment of the invention.
  - ☐ Certified copy of \_\_\_\_ Application No. \_\_\_\_, filed \_\_\_\_, is filed:
  - ☐ herewith or ☐ in prior application \_\_\_\_.
- ☒ Verified Statement Claiming Small Entity Status under 37 CFR 1.9 and 1.27.
  - ☐ was filed in the prior non-provisional application, and such status is still proper and desired (37 CFR 1.28(a));
  - ☒ Unsigned copy enclosed herewith; ☐ is no longer desired.
- ☒ Preliminary Amendment.
- ☐ Information Disclosure Statement, PTO-1449, and cited references.

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09/513888  
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The filing fee has been calculated as shown below:

			SMALL ENTITY			LARGE ENTITY	
CLAIMS	NO. FILED	NO. EXTRA	BASIC FEE:			BASIC FEE:	
			\$345			\$690	
Total	66-20 =	46	X9	\$ 414	OR	X18	\$
Independent	30-3=	27	X39	\$ 1,053	OR	X78	\$
Multiple Dependent Claims Present: &@			\$130	\$ 0	OR	\$260	\$
			TOTAL	\$-0*	OR	TOTAL	\$

\* THE ABOVE CALCULATED FEE IS NOT BEING PAID AT THIS TIME

The Commissioner is hereby authorized to charge payment of the following fees or credit any overpayment to Deposit Account No. 50-1017 (Billing No. 209855.0081). One additional copy of this sheet is enclosed.

- ☒ The above calculated filing fee \$ 0.
- ☐ Any additional fees required under 37 C.F.R. §1.16.
- ☒ Any additional fees required under 37 C.F.R. §1.17.
- ☒ If the filing of any paper during the prosecution of this application requires an extension of time in order for the paper to be timely filed, applicant(s) hereby petition(s) for the appropriate extension of time pursuant to 37 C.F.R. §1.136(a).

**CORRESPONDENCE ADDRESS:**

Carlo M. Croce *et al*

February 25, 2000  
(Date)

By:

Gary D. Colby, Ph.D., J.D.

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Enclosures